

# Privacy Policy- Ulin & Co. Wealth Management

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Effective Date: 12.5.25

**At Ulin & Co. Wealth Management, we prioritize the confidentiality and security of our clients' personal information. This Privacy Policy outlines how we collect, use, and safeguard your data, in compliance with applicable laws and regulations.**

## 1. Information We Collect

To provide comprehensive financial planning and investment advisory services, we collect nonpublic personal information from various sources:

- Information You Provide: Such as your name, address, date of birth, social security number, financial statements, tax returns, and other personal details shared during consultations or through account applications.
- Information We Obtain from Others: Including account balances, transaction histories, and other financial data from custodians, brokers, or other financial institutions.

## 2. How We Use Your Information

The information we collect is utilized to:

- Assess your financial needs and objectives.
- Provide personalized investment advice and financial planning services.
- Process transactions and manage your accounts.
- Comply with legal and regulatory requirements.
- Communicate with you regarding your accounts and services.

## 3. Sharing of Information

We do not sell your personal information. However, we may share your data in the following circumstances:

- With Non-Affiliated Third Parties: Such as custodians, brokers, and other service providers necessary to facilitate your financial transactions or services.
- For Legal and Regulatory Compliance: To comply with legal obligations, respond to subpoenas, or assist in regulatory investigations.
- With Affiliates: If applicable, we may share information with affiliated entities that are subject to the same privacy standards.

We ensure that any third parties with whom we share your information are contractually obligated to protect your data and use it solely for the purposes for which it was provided.

## 4. Data Security

We implement physical, electronic, and procedural safeguards to protect your personal information from unauthorized access, alteration, or disclosure. These measures are in compliance with federal and state regulations and are regularly reviewed to ensure their effectiveness.



### **5. Your Rights and Choices**

You have the right to:

- Access and Correct Your Information: Request access to your personal data and correct any inaccuracies.
- Opt-Out of Certain Sharing: In some cases, you may opt out of sharing your information with non-affiliated third parties.
- Request Deletion: Subject to certain legal exceptions, you may request the deletion of your personal information.

To exercise these rights or for any inquiries regarding our privacy practices, please contact us at:

Ulin & Co. Wealth Management

Email: [info@ulinwealth.com](mailto:info@ulinwealth.com)

Phone: (561) 210-7887

### **6. Changes to This Privacy Policy**

We reserve the right to update this Privacy Policy periodically. Any changes will be communicated to you in writing and will be effective upon distribution.